## WHITE PAPER THE MUMBAI'S NALLAH NIGHTMARE

A comprehensive policy draft addressing population density, stormwater drainage, social impacts, and governance reforms Framework for Flood-Resilient Mumbai – Policy White Paper Academic & Research Purpose Only

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**AN INITIATIVE BY: RAJ SARAF** 

HEADED BY
ALI MOOSVI
SAKHI JAIN
TANMAY LOHADE

CORE TEAM
VEDANSH RAJGOR
PRISHA CHANCHANI
MANASI PATIL
DHRUV MENON
MEHEK KALRA

#### MUMBAI'S GEOGRAPHY, CLIMATE AND URBAN FORM: AN OVERVIEW

Mumbai is, by geomorphology and history, a city of constrained margins. Once an archipelago of islands, the contemporary metropolis rests upon a narrow, reclaimed peninsula whose expansion has been achieved through successive episodes of land reclamation and engineering intervention.

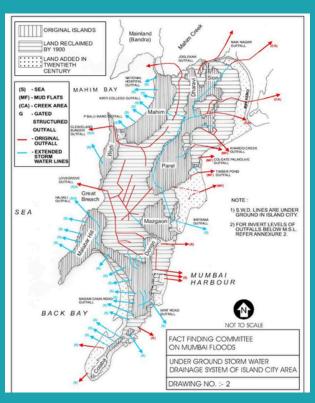
Much of the urban footprint occupies terrain that was formerly wetlands, creeks and tidal flats; the progressive infill of these natural systems has materially altered pre-existing hydrological networks, reduced the extent of natural water retaining landscapes such as ponds and mangrove belts, and thereby diminished the city's innate capacity to attenuate and store stormwater. From a policy and regulatory standpoint, this substrate imposes three linked constraints: a finite spatial envelope for infrastructural expansion, heightened sensitivity to localized subsidence and settlement, and an imperative to treat the existing drainage network as a scarce ecological and infrastructural asset whose integrity is central to public safety and urban functionality.

Climatically, Mumbai is dominated by the south-west monsoon, which concentrates the precipitation budget into a narrow seasonal window (broadly June to September). Average gauges in the Island City register approximately 2,050 millimetres per annum, with some suburban recording stations registering totals of up to 2,300 millimetres; more salient than annual sums, however, is the temporal concentration of rainfall. A disproportionate share of Mumbai's seasonal precipitation falls in a small number of high-intensity, short-duration events, producing peak hourly and sub-hourly intensities that outstrip legacy design assumptions for urban stormwater infrastructure. The policy implication is stark: infrastructure planned on the basis of historical mean intensities is liable to be overtopped or otherwise exceeded during extreme events, thereby shifting the calculus from occasional operational inconvenience to regular system failure unless the design and operational paradigm is recalibrated to reflect these chronic extremes.

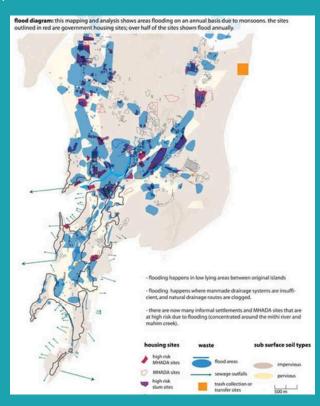
Hydrodynamic constraints imposed by the sea accentuate these inland vulnerabilities. A significant proportion of the city's drainage outfalls discharge at elevations close to, or below, mean sea level; consequently, tidal cycles and episodic storm surges can impose retrograde flow conditions- commonly described as tidal locking—that prevent gravity-driven discharge and compel reliance upon active pumping. The concurrence of intense, shortduration rainfall with high tide events thus functions as a wellrecognised risk multiplier: internal runoff generation is simultaneously amplified by impervious urban surfaces while the egress routes to the sea are transiently compromised. For regulatory and operational design, this phenomenon requires two corollary responses: first, the strategic deployment and reliable operation of pumping and tidal-control infrastructure at vulnerable outfalls; and second, planning for anticipatory operations and adaptive thresholds that account for the compound risks posed by synchronous meteorological and tidal extremes.

### LEGACY DRAINAGE SYSTEMS AND DESIGN STANDARD

Mumbai's stormwater drainage apparatus is, in many respects, an infrastructural palimpsest: layers of engineering choices, incremental accretions and past assumptions remain inscribed on the city's hydraulic fabric. Many principal components of the stormwater drainage (SWD) network trace their provenance to an era when urban form, impervious cover and meteorological expectations were markedly different.



Original design conventions commonly adopted conservative hourly-intensity benchmarks - often cited in legacy documents at approximately twentyfive millimetres per hour - and assumed moderate runoff coefficients appropriate to a less built-up catchment. Those assumptions, which were never calibrated for the contemporary density of built form or for the accelerating incidence of short-duration convective today demonstrably storms, are inadequate. From a regulatory standpoint this historical inertia creates a legal and technical discontinuity: standards embedded in engineering designs and municipal procedures continue to influence procurement, maintenance planning and statutory acceptance criteria even as empirical reality demands higher return-period considerations. The BRIMSTOWAD master plan represents the most consequential institutional attempt to reconfigure that inherited paradigm. Conceived comprehensive master-plan response to recurrent flooding,



BRIMSTOWAD advocated a substantive upward revision of design rainfall assumptions - effectively doubling certain design intensities to the order of fifty millimetres per hour in mapped corridors - and proposed that urban runoff coefficients be treated as approaching unity in densely developed reaches, near-total imperviousness. reflecting were intentionally integrated: recommendations desilting and channel widening were to be accompanied by the strategic installation of pumping stations, the construction of tidal control gates, and the creation of holding ponds to attenuate peak flows. Yet the translation of BRIMSTOWAD's conceptual blueprint into built reality has been partial and uneven. Fiscal constraints, land-take complications and the technical challenge of retrofitting a dense metropolis have conspired to fragment implementation; the result is an incomplete realisation of a plan whose logic still governs framing debates about priority investments and regulatory reforms.

The technical and normative tenor of post-event reviews, most notably the Chitale Committee following the 2005 catastrophe, pushed the design conversation further towards precaution. The Chitale inquiry recommended a recalibration of critical design norms — in some cases advocating intensities of the order of one hundred millimetres per hour for principal roads and critical corridors — and emphasised the systemic virtues of separating stormwater conveyance from sanitary sewage networks. It also urged an expansion of hydro-meteorological monitoring, arguing that resilient design must be paired with real-time observability. The Committee's prescriptions thus straddled both long-term capital investment and near-term operational reform: they recognised that, absent higher design thresholds and better observational infrastructure, episodic investments in desilting and pumping would at best postpone systemic failure rather than prevent it.

Concurrently, technological advances have introduced new operational capabilities that must be institutionally harnessed. The emergence of integrated forecasting platforms such as iFLOWS-Mumbai — which synthesise meteorological forecasts, live rain-gauge telemetry, tidal observations and hydrodynamic model outputs to generate ward-level inundation projections with multi-hour lead times — marks a paradigmatic shift from passive reactivity to anticipatory operations. iFLOWS embodies a modern doctrine of anticipatory governance: it converts probabilistic meteorological information into deterministic operational triggers, enabling pre-positioning of pumps, calibrated closure of vulnerable infrastructure and targeted evacuation advisories. Yet technological sophistication is not a panacea; its public-policy value will be realised only when institutional responsibilities, SOPs and resourcing align so that forecast information is actionable, legally authorised and integrated into the command-and-control architecture of municipal disaster response.

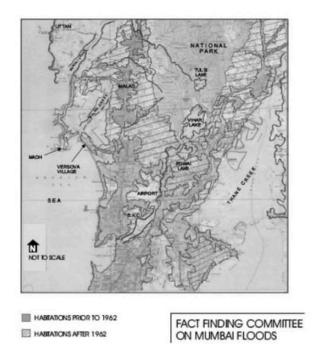


#### POPULATION DENSITY, ENCROACHMENT AND THE NULLAH NETWORK

Population density does not exert its influence on urban drainage as a single vector but rather as a confluence of spatial, material and operational pressures that amplify hydraulic stress. Intensification of habitation raises impervious surface ratios, thereby accelerating both the volume and the rate of runoff for identical rainfall inputs. High residential densities also concentrate the generation of solid waste and demand for informal disposal pathways; where formal collection systems are inadequate, drains are used as de facto refuse corridors, with plastics, organic detritus and bulky refuse forming a composite matrix that traps silt and reduces conveyance. Additionally, dense urban morphology constrains the municipal apparatus's physical access to drains and nullah banks: narrow lanes, ad hoc overhead utilities and makeshift bridging complicate the staging of mechanised desilting operations and slow response times when rapid clearance is necessary. The consequence is a recurrent operational pathology in which intensified runoff collides with systematically reduced conveyance capacity, producing localized inundation and cascading service failures.

Encroachment patterns in Mumbai's riparian and nullah corridors reflect both formal and informal processes and must be read as socio-spatial phenomena as much as as engineering impediments. Informal settlements frequently establish themselves along nullah banks and marginal land precisely because these spaces are residual, under-claimed and, at times, perceived as outside the immediate gaze of regulatory enforcement. Such occupation narrows hydraulic sections, introduces structural obstructions — including semi-permanent constructions and improvised crossings — and raises thorny legal questions about tenure, regularisation and enforcement. From a policy perspective this presents a dual challenge: clarity is required about the legal status of nullah corridors and the enforceability of protected widths, and concomitantly about the rights, entitlements and remedial pathways available to occupants who may be displaced by necessary works. Any engineering plan that neglects the human geography of encroachment risks engendering protracted litigation, social unrest and procedural paralysis.

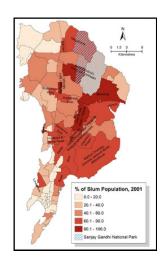
Waste dynamics are an operational fulcrum in which behavioural, institutional and infrastructural failures converge. In wards with inadequate door-to-door collection, dense populations resort to opportunistic disposal that culminates in the systematic clogging of inlets and gully traps. Plastics and large-format waste objects float, lodge and amalgamate with silt layers, creating dense deposits whose mechanical extraction is both slower and costlier than sediment alone.

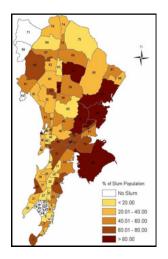


The economics of cleaning therefore escalate non-linearly in areas concentrated waste mismanagement, producing repeated cycles of clearance followed bν rapid re-accumulation. Effective policy responses must therefore combine enforcement with positive incentives: strengthened collection regimes, expanded bulk-waste pickup, deposit-refund or buy-back schemes for recyclables, and community-based monitoring systems that render waste disposal visible and accountable.

Equity considerations must sit at the centre of any lawful and legitimate programme to restore conveyance capacity. Those who live adjacent to nullahs are disproportionately low-income, often lacking formal tenure and political voice, and are thus the population most likely to be both harmed by floods and harmed by blunt enforcement measures. Restoring hydraulic capacity cannot be pursued as a technocratic exercise divorced from social justice; it must be accompanied by a legally robust framework for resettlement, compensation and livelihood protection that accords with constitutional rights and statutory entitlements. Policies must provide for meaningful participation of affected communities in decision-making, transparent criteria for relocation prioritisation, interim support measures and durable housing or in-situ upgrading options where feasible. Where evictions or clearances are unavoidable in the public interest, they must be procedurally fair, evidence-based and accompanied by enforceable guarantees that displaced households will not be rendered materially worse off as a consequence of flood-mitigation works.

Below is a polished, paragraph-form rearticulation of Sections 6 and 7 written in elevated public-policy / legal English. I preserved all core content while expanding methodologic precision, reproducibility requirements, governance empirically-oriented language and recommendations. Each subsection is presented as sustained prose suitable for insertion into the white paper.





#### METHODOLOGY — EXPANDED, TRANSPARENT AND REPRODUCIBLE

This White Paper is grounded in a deliberately mixed-methods research design that privileges transparency, reproducibility and policy relevance. The methodological programme has been constructed so that empirical claims may be independently verified, operational decisions can be traced back to data, and subsequent iterations of the study can update findings with new inputs. In practical terms this requires three interlocking streams: (a) the assembly and curation of quantitative administrative and sensor data; (b) geospatial and hydrological analysis that situates drainage infrastructure within demographic and landuse dynamics; and (c) qualitative inquiry that captures institutional practice, constraints and community perspectives. Each stream is governed by metadata standards, documented codebooks and an auditable data-log that will be deposited in the project data appendix to enable replication by third-party analysts.

The principal data sources to be used are municipal records and operational logs, extant masterplans and committee reports, sensor-derived meteorological and hydrological observations, and up-to-date demographic estimates. Specifically, municipal desilting logs, pump-station operational reports and outfall maintenance records provide primary evidence of maintenance effort and operational readiness; BRIMSTOWAD and Chitale Committee reports provide normative baselines and prior engineering recommendations; iFLOWS technical documentation and telemetry furnish real-time forecasting inputs; and automatic weather station networks provide the ground-truth for short-duration rainfall intensities. Demographic baselines are anchored on the 2011 national census while municipal population projections and internal estimates (2024) are used to approximate present-day densities; all demographic assumptions, projection methodologies and their attendant uncertainty bounds will be recorded to avoid opacity in inference.

Spatial analysis forms the empirical spine of the technical assessment. Using Geographic Information Systems (GIS), the study overlays the officially designated nullah alignments and drainage corridors with granular population-density heatmaps, slum-footprint layers and land-use rasters to produce metrics of exposure and physical interference. The deliverables of this analysis are purposive and quantifiable: the percentage of nullah linear length that traverses wards above defined density thresholds (for example, >20,000 persons per km² as a working high-density benchmark), the geocoded inventory of direct encroachment points and informal crossings, and a feeder-gully coverage map that identifies catchment-level gaps in conveyance. To ensure reproducibility, all geoprocessing scripts, layer sources, coordinate reference systems and buffer assumptions will be version-controlled and appended to the methodological annex.

Operational assessment proceeds from the administrative archive into field-verified performance appraisal. This component systematically compares planned vs executed maintenance actions (scheduled desilting vs completion records), audits pump-station operational readiness including fuel and spare-part inventories, and tests the functional capacity of outfalls and tidal controls under simulated and observed tidal conditions. Where possible, remote telemetry will be cross-referenced with manual spot-checks to measure sensor drift and to validate automated status reports. The assessment further documents institutional process times—procurement lead times for critical spare parts, average time-to-deploy for emergency pump units, and the lag between iFLOWS issuance of a warning and the municipal response—to translate technical readiness into operational metrics that can be governed and improved.

Qualitative inquiry complements and contextualises quantitative findings. Semi-structured interviews will be conducted with an explicitly purposive sample: stormwater engineers and maintenance supervisors in the SWD, ward disaster management officers, frontline pump and desilting crews, community leaders from slum settlements located on nullah margins, NGO actors engaged in sanitation and waste collection, and the data/forecasting personnel responsible for iFLOWS operations. Interview instruments will be standardised, pre-tested and transcribed; coding will be conducted using a replicable thematic framework so that interpretive claims about institutional incentives, coordination failures and community impacts can be traced to coded evidence. All interviews will be subject to ethical protocols including informed consent and anonymisation where requested.

No method is without limits, and the White Paper makes these explicit so that recommendations are interpreted within their evidentiary bounds. Principal limitations include the time-lag intrinsic to decennial census data; while municipal projections for 2024 are used to approximate contemporary densities, these projections introduce uncertainty which will be expressed with confidence intervals in all exposure estimates. Access constraints in heavily encroached or contested stretches may limit field verification; where direct access is denied, remote-sensing proxies and community-verified reports will be used and flagged accordingly. Finally, certain operational datasets are subject to internal confidentiality or inter-agency restrictions; the study therefore recommends pre-negotiated data-sharing agreements, with clear classification protocols, so that proprietary concerns do not impede the public interest in robust flood-risk management.

#### FINDINGS – TECHNICAL, OPERATIONAL AND SOCIAL DRIVERS OF NULLAH FAILURE

The study's evidence synthesis identifies a set of interrelated drivers that explain why Mumbai's nullah network currently underperforms under high-intensity rainfall events. Foremost among these drivers is the physical reduction of hydraulic cross-section caused by encroachments and ad-hoc structures. Recurrent field observations and geospatial analysis demonstrate that informal construction, temporary bridges and the accretion of makeshift platforms frequently narrow designed channel widths, translating what was intended as a continuous flow path into a segmented and locally obstructed system. The hydraulic consequence is straightforward: reduced conveyance raises upstream water levels, produces backwater effects and displaces flow onto adjacent public ways and low-lying properties. For policy purposes, this phenomenon should be quantified by reach-level survey metrics that report per cent cross-section reduction and correlate these metrics with recorded inundation depths during historical events.

A second driver is the complex interaction between solid waste and sedimentation. Empirical fieldwork reveals that waste—particularly light, buoyant plastics and bulky items—acts as a structural matrix that traps and consolidates silt. The operational implication is that clearing must be staged: removal of waste is a prerequisite for efficient mechanised silt extraction, and such staged operations require secure staging zones and access routes. Mechanised jetting and suction units are effective where they can be deployed, but their effectiveness is governed by logistical variables (extent of access, temporary storage for removed material, disposal pathways) which are often absent in dense wards. Hence, remedial measures require not only equipment procurement but also pre-agreed site management plans that secure temporary workspaces and define rapid transfer routes for removed waste and sediment.

The third driver is heightened imperviousness and the resulting intensification of runoff generation. Urban expansion and densification have increased effective runoff coefficients in many catchments, meaning that peak discharges for a given rainfall event now exceed legacy design capacities with greater frequency. The consequence is episodic flash-flooding in locales where conveyance is inadequate or obstructed. This hydrological reality compels both a reassessment of design return periods for critical corridors and an augmentation of upstream retention capacity through either grey or green infrastructure to attenuate peaks before they enter constrained nullah reaches.

A fourth and operationally decisive driver is access and maintenance bottlenecks. Narrow lanes, overhead utilities and informal structures over inlets erect practical barriers to mechanised cleaning and emergency interventions. As a result, large-scale desilting campaigns—often visible and politically salient—yield transient relief but fail to remediate the feeder-gully and household-level blockages that rapidly regenerate vulnerability. In other words, without a maintenance doctrine that addresses scale (feeder-to-main), frequency (routine vs episodic) and access (permitted staging corridors), investments in main-channel rehabilitation will be vulnerable to rapid re-clogging.

Institutional fragmentation constitutes a fifth driver. Responsibilities for drainage, reclamation, tidal control and land use are distributed across multiple bodies—municipal departments, metropolitan development authorities, port and harbour authorities and various state and central agencies—creating coordination gaps in land acquisition, pump deployment and operational command during event cycles. This fragmentation results in delayed decision—making, duplicated effort and, importantly, ambiguity about who bears legal responsibility when maintenance lapses intersect with public harm. Strengthening inter-agency protocols and, where necessary, establishing statutory coordination mechanisms are therefore essential to close these governance gaps.

Finally, data and monitoring gaps hinder anticipatory and adaptive operations. While platforms such as iFLOWS and a growing network of automatic weather stations provide important forecasting and observational capabilities, systematic streamflow monitoring and a comprehensive sensor network of water-level gauges across all major catchments remain incomplete. The consequent blind spots impair the municipal capacity to calibrate models, validate forecasts and execute timely interventions. To remediate this, the evidence supports the rapid expansion of telemetry, standardisation of sensor calibration procedures and mandatory publication of near-real-time operational dashboards so that both managers and the public can assess system status and response.

Collectively, these drivers are not isolated problems but mutually reinforcing weaknesses that convert heavy rainfall into humanitarian and economic crises. The policy response therefore requires integrated interventions: quantified mapping of encroachment and canal morphology to prioritise works that recover hydraulic capacity; staged cleaning regimes that combine community engagement with mechanised removal; upstream retention and distributed green infrastructure to attenuate runoff; legal and institutional reform to secure maintenance corridors and spur coordinated action; and investment in data and telemetry to render the system observable and governable. Each intervention must be accompanied by explicit performance metrics and transparent reporting so that accountability replaces episodic attention and resilience becomes an enduring, measurable outcome.

# CASE STUDY — THE 26 JULY 2005 DELUGE: CAUSES, CONSEQUENCES AND LEGAL-POLICY LESSONS

The storm of 26 July 2005 functions as an emblematic case of infrastructural mismatch: a single-day rainfall event of exceptional intensity exposed the limits of an ageing drainage architecture and the cascading governance failures that transformed hydrometeorological stress into widespread humanitarian and economic loss. Practically, the event illuminated three interacting failure modes. First, gravity-reliant conveyance proved brittle when confronted with the compound condition of extreme runoff coincident with elevated tidal levels; outfalls that normally discharged by gravity became temporarily ineffective, producing backwater conditions that amplified inland flooding. Second, the event revealed the insufficiency of episodic remedial measures - desilting campaigns and ad hoc deencroachments - which, absent a sustained maintenance regime and protective legal corridors, offered at best a short-lived increase in conveyance capacity. Third, and perhaps most consequential from a public-policy perspective, the deluge exposed critical gaps between early-warning capability and operational readiness: meteorological and hydrological signals were not uniformly translated into pre-emptive municipal action such as pump deployment, transport suspension, shelter activation and orderly evacuations. In short, the event was not merely a natural phenomenon but a social-technical failure whose contours can be traced to design assumptions, institutional practice and the absence of legally institution-alised preparedness.





From a normative and legal-policy vantage the lessons are unambiguous. The primacy of anticipatory infrastructure and operations must be enshrined in municipal planning: where outfall discharge is intermittent because of tidal regimes, the legal and budgetary duty to provide resilient pumping and tidal-control infrastructure becomes a non-discretionary public obligation. Desilting and the removal of encroachments cannot be permitted to oscillate between emergency political showpieces and administrative neglect; instead, statutory instruments should mandate minimum corridor widths and scheduled maintenance obligations, underpinned by transparent performance reporting and enforceable sanctions for dereliction. Equally, early-warning systems are of limited value unless their outputs are coupled to pre-authorised response protocols - the law should require the operationalisation of forecasting into SOPs with clear chains of command, resourcing provisions (for fuel, spare parts and human operators), and pre-mapped shelter and evacuation routes that are periodically exercised and publicly documented. Finally, the post-event multiplicity of reported mortality and damage figures underscores the need for an authoritative public data repository and a legal obligation on agencies to reconcile and publish reconciled statistics in a timely manner; such transparency is foundational to procedural justice, post-event accountability and evidence-based reform. The appendix to this White Paper therefore recommends a mandated post-disaster audit protocol - with statutory timelines, independent validation and public disclosure – so that future analyses rest upon a single, auditable corpus of facts.

#### INSTITUTIONAL RESPONSES – BRIMSTOWAD, THE CHITALE REVIEW, iFLOWS AND MITHI REJUVENATION: AN APPRAISAL

The municipal and expert-policy response architecture that evolved after successive flood events reflects both an ability to innovate and a persistent difficulty in translating technical prescriptions into city-wide, sustained implementation. BRIMSTOWAD — conceived as an integrated master plan in the early 1990s and later elaborated in updated Detailed Project Reports — is the archetype of ambitious metropolitan engineering design. Its core logic was comprehensive: to reposition drainage not as an episodic maintenance problem but as an infrastructural programme comprising desilting, widening of conveyance channels, installation of pumping stations, tidal gates and creation of attenuating holding ponds. The plan, read as a normative instrument, implicitly recognised that Mumbai's hydrological realities demanded a calibrated mix of grey infrastructure and operational discipline. Yet the gap between BRIMSTOWAD's conceptual rigor and its on-ground realisation has been accentuated by predictable constraints — fiscal limits, contested land acquisition, and the technical challenge of executing works in a historically-built environment — leaving the city with pockets of implemented upgrades but without the systemic coverage envisaged by the plan.

The Chitale Committee, constituted after the extraordinary losses of 2005, performed the role of an evidentiary corrective: it recommended the elevation of design thresholds in critical corridors, separation of stormwater and sewage where feasible, and augmentation of observation networks. Its recommendations carried normative weight because they shifted the policy conversation from reactive remediation to resilience-minded recalibration of design criteria. The Committee's insistence on higher design intensities and more extensive hydro-meteorological monitoring placed the legal onus on municipal authorities to reinterpret statutory design obligations in light of changing climatic realities. Nevertheless, the operationalisation of the Chitale recommendations has been uneven; to date, the challenge remains one of embedding new standards into procurement rules, engineering codes and budget cycles so that higher design thresholds inform both capital budgeting and maintenance planning.

Technological innovation, most visibly in the form of iFLOWS-Mumbai, offers a complementary axis of reform: by integrating meteorological forecasts, real-time rain-gauge telemetry, tidal observations and hydrodynamic models, iFLOWS converts probabilistic information into actionable ward-level inundation projections with multi-hour lead times. In legal-policy terms, iFLOWS represents infrastructure as information — a public good whose value depends upon institutional commitment to transform forecast outputs into statutory, pre-authorised operational actions.

The system's promise is substantial, but realisation requires legal and administrative attention to issues of data governance, SOP institutionalisation, liability for false-negative or false-positive forecasts and the resourcing of a response chain that includes pumps, transport restrictions and shelters. In short, technological capacity must be coupled with clear legal mandates and budgetary provisioning if forecast information is to produce measurable reductions in harm.



Finally, the Mithi River rejuvenation and similar localized projects exemplify the possibility of integrated engineering, environmental restoration and targeted de-encroachment. Reaches of the Mithi have been widened, de-silted and cleared, producing demonstrable improvements in conveyance where works have been sustained. Yet these successes also illuminate a limiting condition: upstream waste management and catchment-level behavioural change are indispensable complements. Without parallel improvements in household waste collection, bulk waste handling and legal enforcement against dumping, rejuvenated reaches are vulnerable to rapid re-siltation. Thus, while project-level interventions demonstrate technical feasibility, their durability is contingent upon system-level governance, continual maintenance finance and the resolution of land-tenure and relocation questions in a manner that is both legally defensible and socially equitable.

# COMPARATIVE INTERNATIONAL PRACTICES — LESSONS, ADAPTATIONS AND GOVERNANCE IMPERATIVES

A policy practitioner's gaze toward international comparators yields both instructive parallels and cautionary qualifications. Rotterdam's multi-layered strategy — combining robust flood defences with multifunctional open spaces that double as water storage in extreme events — demonstrates the efficacy of simultaneous protective and adaptive design. Crucially, Rotterdam's model is not purely technical; it is embedded within an institutional culture of integrated planning, legal clarity on spatial priorities and financing mechanisms that align public investments with long-term resilience objectives. For Mumbai, the lesson is methodological as much as technical: design must be bounded by governance instruments that secure land, fund maintenance and reconcile competing urban claims in transparent, legally sustainable ways.

Comparators in the Global South such as Jakarta and Manila have emphasised nature-based retention and distributed interventions such as wetlands, retention ponds and urban greening. These interventions can be effective in attenuating peak flows and delivering cobenefits for urban amenity and biodiversity, but their success in low-capacity governance contexts has been variable. Institutional fragmentation, weak enforcement, and the absence of sustained community co-management have, in some cases, converted pilots into transient experiments. For Mumbai, this underlines the imperative to pair nature-based solutions with robust institutional anchors: legal covenants that protect green retention spaces, maintenance funding lines and community stewardship mechanisms built into the design of such interventions.

Closer to home, pilots such as rain-garden installations under urban flyovers illustrate the creative conversion of residual and nuisance spaces into functional hydrological infrastructure. The Hyderabad example of converting underutilised spaces into water-retention and aesthetic landscapes signals the potential for context-sensitive adaptations in dense urban settings where land is scarce. Importantly, these pilots reveal that low-cost, high-impact interventions are feasible where municipal authorities can fast-track clearances and align public-works budgets with community partnerships. For Mumbai, these examples suggest a tiered approach: prioritise systemic conveyance and outfall resilience in the most vulnerable corridors while deploying distributed green infrastructure in complementary catchments and residual spaces to attenuate inflows.

The common thread across these international experiences is unequivocal: technical fixes divorced from governance reform and community-inclusive design are unlikely to yield durable resilience. Engineering interventions must therefore be accompanied by legal instruments that secure corridors and financing, institutional reforms that coordinate cross-sectoral responsibilities, and participatory processes that legitimate interventions in the eyes of affected communities. Adaptation is not merely a matter of transplantation of foreign technical models; it is a process of legal, financial and socio-political translation that reconceives infrastructure as a bundle of rights, obligations and public goods. Mumbai's policy pathway, accordingly, should synthesise the technical rigor of master planning with the adaptive governance practices evident in leading international cases, tailoring both to local constitutional, statutory and urban realities so that resilience becomes both effective and equitable.



### GOVERNANCE, LEGAL INSTRUMENTS AND INTER-AGENCY COORDINATION

The governance challenge that frames Mumbai's drainage dilemma is not merely one of engineering capacity but of institutional architecture. At present, responsibilities for conveyance, outfalls, reclamation, land use and emergency response are dispersed across municipal departments, metropolitan planning bodies, port and airport authorities, and multiple state and central agencies - a fragmentation that produces vacuums of accountability precisely at moments when rapid, coordinated action is required. The legal remedy proposed herein is structural: the constitution by statute of an Integrated Drainage Authority (IDA) endowed with clear, delegated powers to plan, procure, operate and maintain the city's primary drainage corridors. The IDA should be constituted by primary legislation that specifies its mandate, financing modalities and decision-making rules; it should include a public oversight board drawn from elected municipal representatives, technical experts, civil-society members and community representatives from vulnerable wards, thereby blending technical legitimacy with democratic accountability. The Authority's statutory remit would not supplant local agency functions but instead create a legally enforceable coordination layer - a single nodal entity authorised to convene inter-agency action, arbitrate land-take disputes for public-purpose drainage works, and issue binding maintenance directives where persistent dereliction endangers public safety.

Legal instruments must be refined and expanded to convert policy intention into enforceable practice. A suite of statutory and regulatory tools is recommended: (a) Nullah Corridor Notification — a declaratory instrument that identifies and legally protects minimum maintenance corridors along named nullahs, with attendant restrictions on permanent occupation and construction; (b) Anti-Dumping Bylaws - locally enforceable rules that impose proportionate penalties and restorative obligations for illegal disposal into drains, calibrated to enable both punitive and restorative remedies (including community service alternatives and mandated clean-up obligations for corporate actors); (c) Expedited Land Acquisition Protocols – legally streamlined procedures for acquiring or temporarily using land for critical drainage works that include accelerated timelines, transparent valuation methods and immediate interim relief to affected occupants; and (d) an Entitlement and Compensation Framework that codifies the rights of households affected by clearance or resettlement, including quantifiable compensation standards, priority rehousing entitlements and livelihood restoration packages. These instruments must be promulgated with accompanying rule-making that specifies administrative processes, appeal routes and enforcement mechanisms so that legal clarity reduces discretionary delay and shields vulnerable populations from arbitrary enforcement.

Data governance and accountability are indispensable to contemporary public administration and must be enshrined in operational rules. Mandatory data-sharing protocols should be codified between iFLOWS operators, municipal water and sanitation departments, transport authorities, police and disaster-management agencies and health services, specifying the frequency, format and channels of exchange for telemetry, pump-status, desilting logs and warning advisories. To foster public oversight, the statute should require quarterly performance reporting and the maintenance of an open, machine-readable public dashboard that displays core operational indicators (pump functionality, desilting progress, outfall status and forecast alerts) subject to appropriate safeguards for privacy and security. Data governance must be governed by a policy that balances transparency with legitimate confidentiality—for example, protecting personal data of affected households while ensuring full disclosure of system performance—and must stipulate retention schedules, archival standards and independent audit rights so that data becomes a verifiable basis for accountability and redress



#### SOCIAL SAFEGUARDS, COMMUNITY ENGAGEMENT AND LIVELIHOOD PROTECTION

A legally and ethically defensible drainage programme is one that places social safeguards at its centre rather than treating them as ex post mitigation. Any anti-encroachment or relocation initiative undertaken in the public interest must be governed by five foundational principles: meaningful participation and informed consent of affected persons; the provision of adequate and timely compensation for loss of tenure or assets; robust livelihood restoration that recognises both wage and non-wage forms of economic activity; explicit prioritisation of vulnerable groups (women-headed households, the elderly, persons with disabilities and scheduled castes/tribes) in all rehousing and support allocations; and a time-bound, accessible grievance-redress mechanism. These principles should be codified in policy guidance and operationalised through contractual clauses in procurement documents, conditional disbursement rules for finance, and legally enforceable covenants that bind implementing agencies and contractors to social-safeguard performance standards. Operationalising these principles requires a suite of practical measures that reconcile the imperatives of hydraulic safety with rights-based protections. Interventions should be phased and sequenced so that relocation is neither precipitous nor protracted: a phased relocation plan with provable priority housing placement, transitional cash assistance, vocational training and microcredit facilities will mitigate the short-term shock of displacement and lay the foundations for durable economic reintegration. Wherever feasible, in-situ upgrading rather than wholesale removal should be the preferred option, accompanied by engineered setbacks, elevated plinths and secured maintenance corridors that protect both conveyance and tenancy. Community-based maintenance programmes offer a dual benefit and must be embedded in the design: local residents should be trained, certified and remunerated to perform feeder-gully cleaning, vegetation management and the upkeep of nature-based solutions (NBS), thereby converting potential opposition into co-ownership and translating capital savings into local employment.

Transparent, rights-based communication strategies are a legal-policy necessity rather than a discretionary nicety. Notices of intended works, entitlements, timelines and the procedures for complaint must be disseminated in local languages and through multiple modalities — door-to-door counselling, public meetings, digital portals and radio announcements — well in advance of any enforcement action. All communications should be accompanied by easy-to-navigate documentation of entitlements and a publicly accessible register of planned works and affected households. The right to appeal and to independent verification should be preserved at all stages, and community oversight committees should be constituted to monitor compliance with relocation commitments and to interface with the IDA and relevant tribunals. In this way, procedural fairness becomes both a legal obligation and a practical tool for sustaining social legitimacy.

### MONITORING, EVALUATION AND DATA STRATEGY

A robust Monitoring, Evaluation and Learning (MEL) architecture is indispensable to convert investments into demonstrable reductions in hazard exposure and social harm. Key Performance Indicators (KPIs) should be specified ex ante, anchored in measurable outcomes and disaggregated by ward and socio-economic cohort. Examples of such KPIs include: the percentage length of priority nullahs cleared to statutory widths within scheduled timeframes; the number and operational availability of pumping stations during peak monsoon hours; mean time-to-clear blockages after an iFLOWS warning threshold is breached; change in average inundation depth in priority wards following intervention; and the number of households rehoused with verified access to basic services and restored income streams. These KPIs should be linked to public reporting obligations and to performance-based allocations, so that measurable progress yields continuing funding and poor performance triggers remedial audits.

The underlying data architecture must be designed for interoperability, preservation and public validation. The strategy envisages an expanded telemetry network — water-level gauges, flow meters and pump telemetry — integrated into iFLOWS and fed through standardised APIs that permit academic researchers and civil-society organisations to independently validate system behaviour. Data standards should specify calibration protocols, metadata requirements, sampling frequency and data-quality flags; archival policies must define retention periods and accessible formats. Importantly, open data does not imply unregulated release of personal or security-sensitive information; the governance framework must include privacy protections, anonymisation protocols and tiered access rules to reconcile transparency with legitimate constraints.

Independent evaluation is a non-negotiable safeguard. Third-party mid-term and end-of-phase evaluations should be commissioned under transparently tendered contracts to assess both technical efficacy and adherence to social-safeguard commitments. These independent auditors should be mandated to publish full reports, including methodological appendices, and their findings should inform conditional disbursements of subsequent tranches of funding. Where evaluations detect systemic failures or rights violations, statutory triggers should require immediate remedial action plans, public disclosure of corrective measures and, where warranted, referral to appropriate oversight institutions. By institutionalising independent evaluation and tying it to public disclosure and conditional finance, the programme embeds learning, accountability and the rule of law at the core of its governance model.

#### CONCLUSION

Mumbai's relationship with water is both ancient and contemporary: the city is defined by it and repeatedly stressed by it. The nullah network remains a core asset for stormwater conveyance but requires restoration, adaptive upgrades and sustained governance reform. This white paper proposes a balanced pathway that couples technical fixes with nature-based innovation, social justice, and accountable governance. Implemented well, these measures will materially reduce flood exposure, protect the most vulnerable, and strengthen the city's broader climate resilience.



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